

# **FEE TRANSMITTAL** **FY 2001**

Complete if Known

Application Serial Number	09/441,875
Filing Date	November 17, 1999
First Named Inventor	Charlton
Group Art Unit	1641
Examiner Name	Pensce T. Do
Attorney Docket No.	CWP-012CN3

## **METHOD OF PAYMENT**

1. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☒ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

## **FEE CALCULATION**

### **1. FILING FEE**

#### **Large Entity**

Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 80.00 =

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL:  
 SMALL ENTITY DISCOUNT:  
 SUBTOTAL (1) (\$) 0.00

### **2. AMENDMENT CLAIM FEES**

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 80.00 =

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)   
 SMALL ENTITY DISCOUNT: (\$)   
 SUBTOTAL (2) (\$) 0.00

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	110.00
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	130.00
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
		Terminal Disclaimer to Obviate a Double Patenting Rejection Over a Prior Patent	110.00

Other fee (Specify)

SUBTOTAL (3) (\$) 350.00

SUBTOTAL (1) 0.00  
 SUBTOTAL (2) 0.00  
 SUBTOTAL (3) 350.00

TOTAL (\$) 350.00

## **CORRESPONDENCE ADDRESS**

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## **SIGNATURE BLOCK**

Date: September 20, 2001  
 Reg. No.: 38,678  
 Tel. No.: (617) 248-7317  
 Fax No.: (617) 248-7100

Respectfully submitted,

*Duncan A. Greenhagh*  
 Duncan A. Greenhagh  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
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 Boston, MA 02110

# TRANSMITTAL FORM

Application Serial Number	09/441,875
Filing Date	November 17, 1999
First Named Inventor	Charlton
Group Art Unit	1641
Examiner Name	Pensee T. Do
Attorney Docket No.	CWP-012CN3
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input checked="" type="checkbox"/> Copy of Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input checked="" type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><br><input checked="" type="checkbox"/> Petition for Extension of Time (including copy of same)<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input checked="" type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> CD(s) for large table or computer program<br><br><input type="checkbox"/> Amendment After Allowance<br><br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Brief (in triplicate)<br><br><input type="checkbox"/> Status Inquiry<br><br><input type="checkbox"/> Return Receipt Postcard<br><br><input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input checked="" type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)<br><input checked="" type="checkbox"/> Certificate Under 37 CFR 3.73(b)<br><input checked="" type="checkbox"/> Copy of Filing Receipt<br><input checked="" type="checkbox"/> Copy of Recorded Assignment |
|---|---|--|

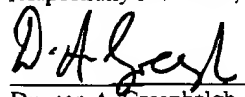
### CORRESPONDENCE ADDRESS

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 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
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### SIGNATURE BLOCK

Date: September 20, 2001  
 Reg. No. 38,678  
 Tel. No.: (617) 248-7317  
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Respectfully submitted,

  
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**TELECOPY COVER SHEET**

*Equipment Operator Contact Number: 617-248-8257. Please call if you do not receive all the pages.*

**Date:** September 20, 2001

**TO:** **Name:** Examiner Pensee T. Do (GROUP ART UNIT 1641)  
**Company:** U.S. Patent and Trademark Office  
**Address:** Washington, DC 20231  
**Telephone:**  
**Fax:** (703) 308-4242

**FROM:** **Sender:** Duncan A. Greenhalgh  
**Number of Pages INCLUDING This Cover Sheet:** Nineteen (19)  
**Your Ref:** U.S.S.N. 09/441,875 (1451/2)

**FAX RECEIVED**

SEP 21 2001

**GROUP 1600**

OFFICIAL

**Comments:**

2180426\_1

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PATENT  
Attorney Docket No. CWP-012CN3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FAX RECEIVED

APPLICANT(S): Charlton *et al.*  
SERIAL NO.: 09/441,875 GROUP NO.: 1641  
FILING DATE: November 17, 1999 EXAMINER: Pensee T. Do  
TITLE: TEST DEVICE AND METHOD FOR COLORED PARTICLE  
IMMUNOASSAY

SEP 21 2001

GROUP 1600

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence, and any document(s) referred to as attached hereto, is/are being transmitted to the United States Patent and Trademark Office, Facsimile No. (703) 308-4242 on this 20th day of September, 2001.

*Keri Lennon*  
Keri Lennon

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Attached hereto is/are:

1. Fee Transmittal Form (1 page)
2. Copy of Fee Transmittal Form (1 page)
3. Transmittal Form (1 page)
4. Amendment/Response After Final (8 pgs.)
5. Petition for Extension of Time Under 37 CFR 1.136(a) (1 page)
6. Copy of Petition for Extension of Time Under 37 CFR 1.136(a) (1 page)
7. Certificate Under 37 CFR 3.73(b) (1 page)
8. Terminal Disclaimer (1 page)
9. Copy of Filing Receipt (1 page)
10. Copy of Recorded Assignment (1 page)



ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT LINES
09/441,875	11/17/99	1643	\$760.00	CWP-012CN3	1	13

RECEIVED

PATENT ADMINISTRATOR  
TEST HURWITZ & THIBEAULT LLP  
125 HIGH STREET  
HIGH STREET TOWER  
BOSTON MA 02110

DEC 27 1999

TESTA, HURWITZ & IMBEAULT

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) DAVID E. CHARLTON, ALLENTOWN, NJ; NEAL W. MILLER,  
LEBANON, PA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
 08/88

DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CON OF 08/886,088 07/02/97 PAT 5,989,921  
WHICH IS A CON OF 07/995,331 12/23/92 PAT 5,714,389  
WHICH IS A CON OF 07/702,450 05/16/91 ABN  
WHICH IS A CON OF 07/211,582 06/27/88 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 12/17/99

TITLE  
TEST DEVICE AND METHOD FOR COLORED PARTICLE IMMUNOASSAY

PRELIMINARY CLASS: 436

DATA ENTRY BY: RIVERS, ANNETTE

TEAM: 01 DATE: 12/17/99

DATA ENRI DI: REVERE, ...

**(See reverse for new important information)**